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# Evolution of genome size: multilevel selection, mutation bias or dynamical chaos?

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In the past two years, new data on conceptual aspects of the evolution of eukaryotic genome size have appeared, including the adaptivity of genome enlargement, the mechanisms of genome size change and the relation of genome size to organismal complexity. New data on the hypotheses of 'selfish DNA' and 'mutational equilibrium' have been recently obtained. A relationship is emerging between the intragenomic distribution of noncoding DNA and differential gene expression, which suggests that noncoding DNA is involved in epigenetic organization of the genome and organismal complexity. The standpoint of dynamical chaos, which integrates multilevel selection and mutation biases, may provide a framework for studying the evolution of genome size.

## Addresses

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## Abbreviations

**LINE1** long interspersed nuclear element 1

## Introduction

Elucidating the evolution of genome size in eukaryotes is, in most part, a problem of variation in the amount of noncoding DNA. The genome of yeast is about four orders of magnitude smaller than those of some plants, amphibians and lungfishes, but only one order of magnitude can be explained by differences in the number of genes. Several important questions remain to be answered in the field of genome size evolution. First, is the accumulation of noncoding DNA in the genome adaptive or just tolerated by selection at the organismal level? Second, if this accumulation is adaptive, what benefits does it confer on the organism? Third, what mechanisms underlie genome size changes (and especially, genome compaction)? Fourth, is genome size related to organismal complexity? Last, does the distribution of noncoding DNA in the genome have physiological

significance? Recently, new insights have been obtained into all of these questions.

In this article, I review studies on the evolution of eukaryotic genome size that have been published mostly in the past two years. My emphasis is on conceptual aspects (rather than on new genome size measurements), such as the adaptivity of genome enlargement, the mechanisms of genome size change, and the relation of genome size to organismal complexity. I also discuss the relationship between the intragenomic distribution of noncoding DNA and differential gene expression, which suggests that noncoding DNA is involved in epigenetic organization of the genome and organismal complexity. Finally, I propose that dynamical chaos, which integrates multilevel selection and mutation biases, may provide a framework for elucidating issues of genome size evolution.

## Adaptability and phenotypic traits associated with genome size

More than 20 years ago, it was suggested that the accumulation of redundant DNA in the genome might result from the activity of selfish intragenomic elements (behaving as Darwinian units) and might be merely tolerated by selection at the organismal level [1,2]. For a long time, this hypothesis of 'selfish DNA' remained untested, because it is difficult to disentangle selection acting at different levels. The appeal to selfish DNA has been even dismissed as "a narrative scheme" that is "untestable and therefore not a hypothesis" [3].

Recently, however, it has been shown that threatened plant species (those that are on the brink of extinction) have, on average, larger genomes than their more secure relatives. This finding suggests that redundant DNA in the plant genome might increase the likelihood of extinction [4•]. The effect has been found to be (at least partially) independent of the duration of plant life cycle. Moreover, polyploidy has been found not to be associated with an increased risk of extinction, suggesting that it is the accumulation of noncoding DNA in the genome that threatens species survival [4•]. At the same time, plant genomes seem generally to enlarge during the course of evolution (although there are exceptions in some lineages [5,6]).

A small genome size is ancestral for most major clades of angiosperms [7•]. There is a negative correlation between the mean genome size of angiosperm families and the

upper limit of their first appearance in geological time (i.e. more recent families have larger genomes) [4<sup>•</sup>]. Taken together, these data indicate that antagonism exists between different levels of selection, thereby supporting the concept of multilevel (hierarchical) selection [8,9]; however, they do not mean that the presence of all noncoding DNA in the eukaryotic genome can be explained by the activity of 'selfish DNA'. The picture is more complicated in vertebrates: in reptiles and birds, there is a correlation between genome size and increased risk of extinction at the within-order and within-family levels; in fishes and amphibians, this correlation is found only at higher taxonomic levels (with an insufficient number of degrees of freedom); and in mammals, it is not found at all [10].

Genome size is known to relate to several phenotypic traits. The most universal trait associated with genome enlargement is an increase in nucleus and cell size coupled with a retardation of the cell cycle and development [11,12]. Although it is easy to imagine an adaptive advantage of more rapid development, an adaptive function of retarded development caused by the accumulation of ballast seems doubtful [4<sup>•</sup>]. Probably, this accumulation can be adaptive only if it is associated with some compensating adaptation, for example, a reduction in metabolic rate (owing both to a lower cell surface to volume ratio and, according to the concept of 'buffering DNA', to an energy-independent attenuation of environmental fluctuations that can reach the nucleus), which allows a species to occupy an ecological niche with a lower energy supply [13–16].

A lower body-mass-corrected metabolic rate in animals with larger genomes has been recently demonstrated both in birds [17<sup>•</sup>], for which previously there were only limited data [15,18], and in reptiles [19<sup>•</sup>]. The relationship between genome size and metabolic rate has facilitated a novel explanation of the interspecies allometry of metabolic rate as a result of the evolutionary diversification of genome size in narrow taxonomic groups [20<sup>•</sup>]. The link between genome size and body-mass-corrected metabolic rate was recently confirmed in mammals taking into account a non-linearity of the relationships between parameters under study [21]. At the same time, a similar analysis of genome size in amphibians has proved inconclusive [22], probably because of the strong dependence of metabolic rate on temperature and the adaptation of different species to different temperatures.

Increased longevity, which can also be considered as an adaptive trait, has been recently reported for reptiles and fishes with larger genomes [19<sup>•</sup>,23] (see also Update). This was suggested to be a consequence of their lower metabolic rate. A positive correlation has been found between genome size and a number of genes encoding rRNA [24], which may reflect a need for augmented

ribosomal machinery in organisms with larger genomes to provide for their larger cell sizes.

## Mechanisms of genome size change

### Transposable elements and indels

Although it is easy to explain a gain in noncoding DNA through the activity of transposable elements and segmental genomic duplications, the mechanisms underlying DNA loss remain vague. A hypothesis of 'mutational equilibrium' has been recently proposed [25<sup>•</sup>] on the grounds of previously reported observations (from a rather limited data set) that small (<400 bp) deletions occur more frequently than do small insertions and that the strength of this bias is negatively correlated with genome size [26,27]. According to this hypothesis (which is reminiscent of 'mutationism' by De Vries), genome size is determined by a balance between the propagation of transposable elements that cause genome enlargement and the bias in favor of small deletions that reduce the size of genome [25<sup>•</sup>].

Data obtained recently on the evolution of pufferfish genomes, however, contradict this hypothesis [28<sup>•</sup>]. Smooth pufferfish (Tetraodontidae) have the most compact genomes among vertebrates (~400 Mb), whereas spiny pufferfish (Diodontidae) and the closest relatives of both groups (Molidae) have genomes that are twice as large. It is therefore assumed that it is the genomes of smooth pufferfish that have experienced contraction rather than the genomes of the spiny pufferfish that have grown [28<sup>•</sup>]. According to the hypothesis of 'mutational equilibrium' [25<sup>•</sup>], genome compaction in smooth pufferfish should be due to a higher bias towards 'indels' (small insertion/deletions of less than 400 bp). Not only was the indel bias found to be similar in the two groups, but also the actual DNA loss in smooth pufferfish caused by small indels was found to be only a few per cent of the genome size [28<sup>•</sup>], which could not explain the twofold genome compaction in smooth pufferfish even in the absence of indel bias in spiny pufferfish and in the absence of transposable element propagation in smooth pufferfish (and suggests that some larger deletions were involved). At the same time, it is known that most families of transposable elements described in teleost fishes are present in smooth pufferfish and that some of them (e.g. *Zebulon*) were active after divergence of smooth pufferfish from spiny pufferfish [29<sup>•</sup>]. Similarly, transposable elements are active in the very compact genomes of *Arabidopsis* and *Drosophila* [30<sup>•</sup>,31,32]. (The hypothesis of 'mutational equilibrium' has been also criticized in [33].)

### Larger deletions

Analysis of genome-wide alignments between human and mouse have indicated that most nonaligning regions that are not identifiable as insertions of lineage-specific transposable elements represent deletions in the other species that have occurred since divergence from the common

ancestor [34,35<sup>\*</sup>]. It has been shown that such deletions in the mouse genome correspond to about a half of the human genome [35<sup>\*</sup>]. Taking into account the fact that a significant part of the human genome has grown through the activity of primate-specific transposable elements (15–20%) [36<sup>\*</sup>], and that transposition has been even more active in the mouse lineage [34], it can be concluded that there have been many more deletions in the mouse genome than is necessary to explain the roughly 15% difference in size between human and mouse genomes. Thus, it is clear that whatever the actual deletion mechanisms, the process of genome evolution is not short of them.

It has been shown that, in contrast to insertions, deletions require two breakpoints in the genomic sequence, which make them potentially more deleterious because they are more likely to involve a coding region [25<sup>\*</sup>]. It remains to be seen whether there are special deletion mechanisms that are preferentially targeted at noncoding DNA. Unequal recombination between two repeats located in the same intergenic spacer or intron could provide such a mechanism. Recently, many examples of unequal recombination mediated by DNA repeats and leading to deletions have been reported [29<sup>\*</sup>,37–40], but it remains to be elucidated whether the likelihood of such events being confined within the same segment of noncoding DNA is higher than of events involving a coding region. Illegitimate recombination involving very short repeats has been also shown to be significant in genome compaction in *Arabidopsis* [30<sup>\*</sup>].

Unexpectedly, it has been found that in cultured human cells, retrotransposition of LINE1 (long interspersed nuclear) elements (a family of retroposons of ~6 kb that is very abundant in mammalian genomes) can cause deletions that are much larger (>70 kb) than the LINE1 elements themselves [41<sup>\*</sup>]. Gilbert *et al.* [41<sup>\*</sup>] concluded that LINE1 retrotransposition can act to reduce genome size. It is interesting that LINE1 elements are excluded from genic regions [42], which suggests that LINE1-mediated deletions might be preferentially located in noncoding DNA.

#### Multilevel selection

The concept of multilevel selection may be also relevant to explain DNA loss. In multicellular organisms, an additional selection level is presented by germ cells. Genome compaction might be favored during the proliferation of germ cells because proliferation accelerates the cell cycle. At the same time, benefits from a reduced metabolic rate caused by genome enlargement (which could have an advantage at the organismal level owing to the opportunity to occupy a niche with a lower energy supply) would not be important for selection during germ cell proliferation because these cells are equally supplied with energy. Moreover, the nucleus is a dead load in

sperm. Sperm competition can lead to a decrease in sperm size and an increase in sperm number [43<sup>\*</sup>], which suggests that this might be a genome-reducing force. Thus, selection at the level of germ cells could act to counterbalance the pressure of transposable elements that inflates the genomes of multicellular organisms, especially in large-body organisms with weakened organismal-level selection (owing to lower population sizes and longer life cycles).

#### 'Dynamical chaos' model

Although the 'mutation equilibrium' hypothesis in its present form cannot explain most changes in genome size, the correlation of indel bias with genome size remains intriguing. It is possible that other mutation mechanisms involving genome size may have similarly correlated biases. Insertions or deletions in noncoding DNA that do not disrupt functionally significant regions are likely to be associated with very small selection coefficients (even if the indel is dozens of kilobases), because the indel accounts for only a tiny fraction of the whole genome. It is unlikely, however, that changes in genome size can be explained by purely mutational forces because there are clear indications that selection also has a role. For example, the distribution of genome sizes in birds and mammals is consistent with strong stabilizing selection [44<sup>\*</sup>]. In plants there are many examples of ecological correlates of genome size [11].

It is thus tempting to suggest that mutation biases themselves can be determined by a selection for DNA-handling enzymes and thereby used to change genome size in an ecophysiologicaly relevant direction or to counterbalance the activity of selfish genetic elements in the case of stabilizing organismal-level selection. Such a combined evolutionary mechanism would invoke positive and negative feedbacks that are characteristic of dynamical chaos [45]. The positive feedback might be caused by a selection for genome size change enforced by a selection-formed mutation bias in the same direction, whereas the negative feedback would appear owing to stabilizing selection after reaching an optimum genome size. The dynamical chaos should differ both from a 'non-inertial' selection and from pure mutational mechanisms by oscillation that might occur after reaching an optimum genome size.

An analogy can be made with the GC content of the genome (another 'bulk' genomic trait). The isochores (genomic regions differing in GC content) in the genomes of mammals and birds are supposed to have physiological significance with regard to gene regulation [46]. At the same time, it is likely that some kind of mutation bias had a role in their formation (after all, selection for each separate nucleotide in noncoding DNA would incur a too high genetic load) [47]. It has been shown that the isochoric structure may have deteriorated in the most

recent period of mammalian evolution [47,48], which could be interpreted as a backward movement after reaching an optimum level [46]. Transposable elements of different age could be used as markers to determine whether there was a similar oscillation in genome size in a phylogenetic group that experienced a past genome size change caused by a general shift in ecophysiology (e.g. bats).

### Organismal complexity and distribution of noncoding DNA

Genome size does not correlate closely with organismal complexity. This observation has been termed the 'C-value paradox'. However, the genomes of more complex organisms are, on average, larger than the genomes of less complex organisms, and it is therefore not certain that no part of additional noncoding DNA is involved in complexity. The evolution of multicellular organisms was accompanied by a great increase in the complexity of transcriptional regulation [49]. The regulatory sequences (promoters, enhancers, silencers and insulators) of a given gene can be scattered over distances of roughly 10 kb in the *Drosophila* and 100 kb in the human genome [49]. This difference agrees well with the difference in size between *Drosophila* and human genomes (117 Mb versus 2910 Mb, respectively, for euchromatic parts), taking into account the several-fold higher number of genes in the human genome.

It has been recently proposed that the genome complexity (caused by the retention of duplicate genes and transposable elements) of eukaryotes emerged by random genetic drift (non-adaptively) in response to the long-term population-size reductions that accompanied the increases in organism size [50]. In a test of this hypothesis, however, an outcome contrary to the authors' predictions has been so far observed, which by reverse inference supports an adaptive interpretation of genome enlargement [51]. Nevertheless, the accumulation of noncoding DNA in the genome, even if it first happened accidentally, might become a trap: in addition to the fact that transposable elements form 'landing pads' for other such elements, the longer life cycles and the lower population sizes caused by genome enlargement might attenuate purifying selection against larger genomes, thereby simulating a neutralist effect of 'permissive' evolution [4\*].

The issue of the relationship between genome size and organismal complexity has been recently raised in the field of functional genomics. It has been found that highly and broadly expressed genes (i.e. genes that are expressed in many tissues) have shorter intronic and coding sequences than do genes expressed in a tissue-specific fashion [52\*–55\*]. Because transcription and translation are energetically costly, this shortness has been interpreted as a result of selection for economy [52\*–54\*]. The 'economy' hypothesis implicitly assumes a neutralist

(permissive) interpretation of the accumulation of DNA in the eukaryotic genome. The incessantly expressed genes are supposed to 'slim down' (selection condition), whereas those that work less intensively are thought to 'get fat' (permissive condition). It has been argued, however, that housekeeping genes are not becoming shorter but rather tissue-specific genes are getting longer [55\*]. The tissue-specific proteins have more complex architectures that explain the increase in their length [55\*]. Not only introns, but also intergenic spacers around highly expressed and housekeeping genes are similarly (or even more regularly) shorter than those around tissue- and development-specific genes [54\*,55\*]. As a result, the 'gene nest' proportion (the ratio of intra- plus intergenic noncoding to coding DNA lengths) negatively correlates with the breadth and level of gene expression [55\*]. The greater amount of intra- and intergenic noncoding DNA, in which the tissue- and development-specific genes are embedded, is supposed to be involved in the more complex regulation and chromatin-mediated suppression of these genes (especially when possible surges of chromatin decondensation caused by fluctuations of intracellular solute composition are taken into account), thereby participating in the epigenetic organization of the genome and transcriptional noise suppression [55\*].

An exemplar intergenic spacer has been carefully studied in the *Drosophila melanogaster* species complex and has revealed a rapid DNA sequence turnover [56\*]. The length of the spacer initially increased during the evolution of these species because of several large insertions but subsequently decreased owing to negative indel bias. Singh and Petrov [56\*] interpreted these findings as evidence for unconstrained evolution of noncoding DNA owing to random mutations, which is consistent with the 'mutational equilibrium' model. It is, however, the expression of genes that changes most rapidly in closely related species [57,58]. In *Drosophila* species, the change of expression is especially strong for sex-dependent genes [58]. One of the genes around the exemplar intergenic spacer is sex-specific, another is embryo-specific (and both are coded in the opposite direction with location of their potential 5'-upstream regulatory regions within this spacer) [56\*]. It is therefore possible that structural changes in this intergenic spacer were accompanied by physiologically relevant changes in the expression of adjacent genes.

### Conclusions

Notwithstanding the recent advancements, all of the issues raised in this review need further investigation. The following points remain to be elucidated: first, the universality of reduction in metabolic rate as a consequence of genome enlargement, the mechanisms of this reduction (in particular, whether there are other effects in addition to the mere change in cellular geometrical

parameters), and whether this reduction is a cause for other possible adaptive traits (increased longevity and stress-tolerance, etc.); second, the generality of the negative correlation between genome size and insertion/deletion mutation bias (not only with regard to small indels), and whether there are deletion mechanisms preferentially confined within the noncoding DNA; third, the role of multilevel selection in genome size changes and in formation of mutation biases; and last, the relevance of noncoding DNA amount to organismal and genome complexity, especially the role of its intragenomic distribution in differential gene expression.

## Update

The report on increased longevity in fishes with larger genomes [23] was criticized on the ground of a more careful statistical analysis [59].

Recently, the correlation between the number of B chromosomes, the degree of outbreeding, and genome size was found in angiosperm plants [60\*]. The link between the number of B chromosomes (which are believed to be selfish elements) and genome size is consistent with a weakened selection against the accumulation of redundant DNA in species with larger genomes [60\*]. As for the degree of outbreeding, it is more ambiguous. The role of the breeding system in regard to adaptive versus neutralist interpretation of accumulation of 'selfish' DNA is inconclusive [4\*]. On the one hand, selection against deleterious genetic changes is promoted by recombination and is generally more effective in sexual breeding systems as compared to asexual; on the other hand, transposable elements also propagate more successfully in sexual populations than in asexual ones (reviewed in [4\*]).

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